

FORM PTO-1390  
(REV 11-98)TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371ATTORNEY DOCKET NUMBER  
1776/4066

U.S. APPLICATION NO. (If known, see 37 CFR 1.51)

097/890321

INTERNATIONAL APPLICATION  
PCT/JP00/08482INTERNATIONAL FILING DATE  
30 November 2000 (30.11.00)PRIORITY DATE CLAIMED  
30 November 2000 (30.11.00)  
30 November 2000 (30.11.00)  
18 September 2000 (18.09.00)TITLE OF INVENTION  
(REACTIVE MONOMER COMPOSITION MODIFIED BY A SMALL-AMOUNT OF LACTONES, AN ACRYLIC POLYOL  
RESIN, A CURABLE RESIN COMPOSITION, AND A COATING COMPOSITION)

APPLICANT(S) FOR DO/EO/US

Okazaki, Akira

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information.

1. ☒ This is **FIRST** submission of items concerning a filing under 35 U.S.C. 371
2. ☐ This is **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371 (b) and PCT Articles 22 and 39 (1).
4. ☐ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☒ A translation of the International application into English (35 U.S.C. 371(c)(2))
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☒ have not been made; however, the time limit for making such amendments has NOT expired
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3))
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included.

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98. and copy of Search Report.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included
13. ☒ A FIRST preliminary amendment.
   
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter
16. ☒ Other items or Information:

PCT Request – (first page only) PCT /R0/101)  
 Verified Certification of Express Mailing Date (International Application) under 37 C.F.R. § 1.10(c)  
 Form PCT/IB/304 Notification Concerning Submission or Transmittal of Priority Document  
 Notification informing applicant of communication of the International Application to Designated Office (PCT/IB/308)  
 Notification of Receipt of Record Copy (PCT/IB/301)  
 Published application W0 01/40329 A1  
 Return receipt postcard

U.S. APPLICATION NO (if known, see 37 CFR 1.51) <b>09/890321</b>		INTERNATIONAL APPLICATION NO PCT/JP00/08482		ATTORNEY'S DOCKET NO 1776/4066	
TBA					

17. <input checked="" type="checkbox"/> The following fees are submitted: <b>BASIC NATIONAL FEE</b> (37 CFR 1.492 (a) (1) - (5) ): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2) paid to USPTO and International Search Report not prepared by the EPO or JPO.....\$1000.00  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ...\$860.00  International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2) paid to USPTO .....\$710.00  International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33 (1) - (4) .....\$690.00  International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1) - (4).....\$100.00  <b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				<b>CALCULATIONS</b> PTO USE ONLY	
				\$      860.00	
Surcharge of <b>\$130</b> for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	36 - 20 =	16	X \$18 00	\$      288.00	
Independent claims	6 - 3 =	3	X \$80 00	\$      240.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270 00	\$	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$      1,388.00	
Reduction of ½ for filing by small entity, if applicable. Applicant hereby asserts that it is a small entity and entitled to ½ reduction in fees.				\$	
<b>SUBTOTAL =</b>				\$      1,388.00	
Processing fee of <b>\$130.00</b> for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f))				\$	
<b>TOTAL NATIONAL FEE =</b>				\$      1,388.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property				\$	
<b>TOTAL FEES ENCLOSED</b>				\$      1,388.00	
				Amount to be refunded:	
				\$	
				charged	
				\$	


a. ☐ A check in the amount of to cover the above fees is enclosed

b. ☒ Please charge my Deposit Account No 13-4500 in the amount of \$1388 00 to cover the above fees

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No 13-4500, ORDER NO. 1776-4066. A duplicate copy of this sheet is enclosed.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO: Morgan & Finnegan LLP 345 Park Avenue New York, NY 10154-0053  Telephone: 212-758-4800 Telecopier: 212-751-6849	 SIGNATURE  Daryl H. Steensma NAME  43,155 REGISTRATION NO.
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